

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shunji HARADA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005\_1051A  
Filed July 5, 2005 :

SOFTWARE-MANAGEMENT SYSTEM,  
RECORDING MEDIUM, AND  
INFORMATION-PROCESSING DEVICE  
[Corresponding to PCT/JP04/001934  
Filed February 19, 2004]

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_;  
and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

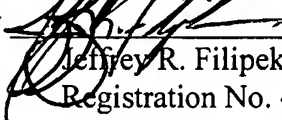
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at pages 1-6,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. 6,094,723 corresponds to JP 10-027426

5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Shunji HARADA et al.

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July 5, 2005

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Sheet 1 of 1								<b>INFORMATION DISCLOSURE STATEMENT</b>							
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: July 5, 2005						ATTY DOCKET NO. 2005_1051A				SERIAL NO. <b>10/541413</b> NEW					
						APPLICANT Shunji HARADA et al.									
						FILING DATE July 5, 2005				GROUP					
<b>U.S. PATENT DOCUMENTS</b>															
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE								
	AA	6,094,723	7/2000	Otsuka											
	AB	2001/0042043	11/2001	Shear et al.											
	AC														
	AD														
	AE														
	AF														
	AG														
	AH														
	AI														
<b>FOREIGN PATENT DOCUMENTS</b>															
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO								
	AJ	02/47080	6/2002	WO											
	AK	2002-182769	6/2002	JP			Abstract								
	AL	2002-268764	9/2002	JP			Abstract								
	AM	10-27426	1/1998	JP											
	AN														
<b>OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)</b>															
	AO	WORLD NEWS, vol. 11, no. 5, November 2002, XP002288817, Retrieved from the Internet: URL: <a href="http://www.semiconductors.com/publications/worldnews/11.5.pdf">http://www.semiconductors.com/publications/worldnews/11.5.pdf</a> retrieved on July 16, 2004, page 6, 3 <sup>rd</sup> article "SmartXA provides..." 3 <sup>rd</sup> paragraph													
	AP	"Sospita License Protection, System Overview. Document Number 1.1.3.1, Product Version 3.0" SOSPITA LICENSE PROTECTION. SYSTEM OVERVIEW, October 16, 2001, pages 1-8, XP002974386													
	AQ														
EXAMINER					DATE CONSIDERED										

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.